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| FORM PTO-1390   | U.S. Department of Commerce Patent and Trademark Office |   | Attorney's Docket No. |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |   | 2732-167  |                       |
|   |   | U.S. Application No. (if known, see 37 CFR 1.5)<br><b>10/528118</b> |                       |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP03/10237   | INTERNATIONAL FILING DATE<br>September 15, 2003         | PRIORITY DATE CLAIMED<br>September 17, 2002                         |                       |
| <b>TITLE OF INVENTION</b><br>METHOD AND CHECKING DEVICE FOR CHECKING DOCUMENTS OF VALUE   |   |   |                       |
| <b>APPLICANT(S) FOR DO/EO/US</b><br>Norbert HOLL  |   |   |                       |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |   |   |                       |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<br/>       a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).<br/>       b. <input type="checkbox"/> has been communicated by the International Bureau.<br/>       c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<br/>       a. <input checked="" type="checkbox"/> is attached hereto.<br/>       b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br/>       a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br/>       b. <input type="checkbox"/> have been communicated by the International Bureau.<br/>       c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br/>       d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> |   |   |                       |
| <b>ITEMS 11. TO 20. below concern other document(s) or information included:</b>  |   |   |                       |
| <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment w/Substitute Specification (marked-up and clean versions).</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input checked="" type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Front Page of WO 04/027718 A1, ISR, IPER, PCT Request</p>  |   |   |                       |

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| U.S. APPLICATION NO. (If known, see 37 CFR 1.50)<br><b>10/528118</b>   | INTERNATIONAL APPLICATION NO.<br>PCT/EP03/10237 | ATTORNEY DOCKET NO.<br>2732-167  |                    |
| 21. [ X ] The following fees are submitted:  |   | <u>CALCULATIONS</u> <u>PTO USE ONLY</u>  |                    |
| Basic National Fee . . . . . \$ 300.00   |   |  |                    |
| Examination Fee . . . . . \$ 200.00<br>(All other situations)  |   |  |                    |
| Search Fee . . . . . \$ 400.00<br>(IPER prepared and provided to the Office)   |   |  |                    |
| ENTER APPROPRIATE BASIC FEE AMOUNT =   |   | <b>\$900.00</b>  |                    |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |   | \$   |                    |
| Claims   | Number Filed                                    | Number Extra   | Rate               |
| Total Claims   | 23-20 =   | 3  | X \$50.00 \$150.00 |
| Independent Claims   | 2-3 =   |  | X \$200.00 \$      |
| Multiple dependent claim(s) (if applicable)  |   |  | + \$360.00 \$      |
| TOTAL OF ABOVE CALCULATIONS =  |   | <b>\$150.00</b>  |                    |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |   | \$   |                    |
| SUBTOTAL =   |   | <b>\$1050.00</b>   |                    |
| Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +   |   | \$   |                    |
| TOTAL NATIONAL FEE =   |   | <b>\$1050.00</b>   |                    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |   | \$   |                    |
| TOTAL FEES ENCLOSED =  |   | \$   |                    |
|  |   | Amount to be refunded  | \$                 |
|  |   | charged  | <b>\$1050.00</b>   |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 02-2135 in the amount of <b>\$1050.00</b> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.</p> |   |  |                    |
| <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>  |   |  |                    |
| SEND ALL CORRESPONDENCE TO:  |   |  |                    |
| Customer No. 6449  |   | _____<br>Signature   |                    |
| Rothwell, Figg, Ernst & Manbeck<br>1425 K St., N.W.<br>Washington, D.C. 20005<br>Phone: 202/783-6040   |   | George R. Pepper<br>Name   |                    |
|  |   | 31.414<br>Registration Number  |                    |